

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

In re:

LEHMAN BROTHERS HOLDINGS, INC., *et al.*

Debtors.

----- X  
: Chapter 11  
:  
: Case No. 08-13555 (SCC)  
:  
: (Jointly Administered)  
:  
: Ref. Docket Nos. 53696 and  
: 53742  
----- X

**AFFIDAVIT OF SERVICE**

STATE OF NEW YORK     )  
                                  ) ss.:  
COUNTY OF NEW YORK    )

CATHERINE HENRIQUEZ, being duly sworn, deposes and says:

1. I am employed as a Noticing Coordinator by Epiq Bankruptcy Solutions, LLC, located at 777 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On January 23, 2017, I caused to be served the "Notice: Filing of Transfer of Claim Pursuant to Federal Rule of Bankruptcy Procedure 3001(e)(2) or (4)," dated January 23, 2017, a sample of which is annexed hereto as Exhibit A, by causing true and correct copies to be enclosed securely in separate postage pre-paid envelopes and delivered via first class mail to those parties listed on the annexed Exhibit B.
3. All envelopes utilized in the service of the foregoing contained the following legend:  
"LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO THE ATTENTION OF ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

/s/ Catherine Henriquez  
Catherine Henriquez

Sworn to before me this  
25<sup>th</sup> day of January, 2017  
/s/ Elli Krempa

\_\_\_\_\_  
Notary Public, State of New York  
No. 01KR6175879  
Qualified in Suffolk County  
Commission Expires October 22, 2019

## **EXHIBIT A**

In re
LEHMAN BROTHERS HOLDINGS INC., et al.,
Debtors.

Chapter 11 Case No.  
08-13555 (SCC)  
(Jointly Administered)

**NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF  
BANKRUPTCY PROCEDURE 3001(e)(2) or (4)**

Note: For Purposes of this form, **transferor** refers to the claimant who is selling or otherwise assigning its claim. While **transferee** refers to the party who is purchasing or otherwise being assigned the claim.

To:

HONGKONG AND SHANGHAI BANKING CORPORATION LTD., SINGAPORE  
BRANCH; TRANSFEROR: HSBC PRIVATE BANK (SUISSE) SA, SINGAPORE BRANCH  
ATTN: SARAH CHAN/DIRECTOR  
LEVEL 13 & 14  
1 QUEEN'S ROAD CENTRAL  
HONG KONG  
HONG KONG

Please note that your claim # 56671-23 in the above referenced case and in the amount of  
\$200,000.00 allowed at \$180,120.95 has been transferred (unless previously expunged by court order)

BAR(23) MAILID \*\*\* 000115962971 \*\*\* LBH TRFNTC (MERGE2, TXNUM2) 4000177487



CREDIT SUISSE AG, SINGAPORE BRANCH  
TRANSFEROR: HONGKONG AND SHANGHAI BANKING CORPORATION LTD., SINGAPORE  
C/O KLESTADT WINTERS JURELLER SOUTHARD & STEVENS, LLP  
ATTN: STEPHANIE SWEENEY, ESQ.  
570 SEVENTH AVENUE, 17TH FLOOR  
NEW YORK, NY 10018

No action is required if you do not object to the transfer of your claim. However **IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST, WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York  
One Bowling Green  
New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 53742 in your objection. If you file an objection, a hearing will be scheduled. **IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFEEE WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.**

Date: 01/23/2017 Vito Genna, Clerk of Court

/s/ Lauren Rodriguez  
\_\_\_\_\_  
EPIQ BANKRUPTCY SOLUTIONS, LLC  
as claims agent for the debtor(s).

## **EXHIBIT B**

Claim Name	Address Information
CREDIT SUISSE AG, SINGAPORE BRANCH	TRANSFEROR: HONGKONG AND SHANGHAI BANKING CORPORATION LTD., SINGAPORE, C/O KLESTADT WINTERS JURELLER SOUTHARD & STEVENS, LLP, ATTN: STEPHANIE SWEENEY, ESQ., 570 SEVENTH AVENUE, 17TH FLOOR, NEW YORK, NY 10018
HONGKONG AND SHANGHAI BANKING CORPORATION LTD., SINGAPORE	BRANCH; TRANSFEROR: HSBC PRIVATE BANK (SUISSE) SA, SINGAPORE BRANCH, ATTN: SARAH CHAN/DIRECTOR, LEVEL 13 & 14, 1 QUEEN'S ROAD CENTRAL, HONG KONG HONG KONG
MERRILL LYNCH INTERNATIONAL	ATTN: CHRISTOPHER J. HAAS, BANK OF AMERICA TOWER, ONE BRYANT PARK, NEW YORK, NY 10036
MERRILL LYNCH INTERNATIONAL	C/O FREDRIC SOSNICK & NED S. SCHODEK, SHEARMAN & STERLING LLP, 599 LEXINGTON AVENUE, NEW YORK, NY 10022
OZ SPECIAL MASTER FUND, LTD	TRANSFEROR: MERRILL LYNCH INTERNATIONAL, C/O OCH-ZIFF CAP. MGMT GROUP/C WONG, 9 WEST 57TH STREET, 13TH FLOOR, NEW YORK, NY 10019

<b>Total Creditor Count 5</b>
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